IOWA STATE UNIVERSITY

Office of the Senior Vice President and Provost

Promotion, Tenure, and Advancement: Resources and Best Practices

Each promotion, tenure, and advancement cycle:

- Discuss promotion and advancement with faculty as a career goal.
- Communicate with the candidate throughout the process.
- Describe the process, materials required, expectations, and deadlines to faculty, review committees, and voting faculty.
- The college process for forwarding <u>late-developed information via a memo</u> to the next level of promotion and tenure review must be clarified and communicated to faculty.
- Strengthen confidentiality throughout the process.
- Clarify criteria for faculty, especially for promotion and advancement to professor.
- Include peer reviews of observation/teaching with cases for teaching faculty. Refer to Faculty Handbook (sections 5.2.2.3.1 Scholarly Teaching and 5.3.2.2.1 Teaching) and Center for Excellence in Learning and Teaching's Peer Review of Teaching website.

Chair roles and responsibilities:

- Initiate process at the department-level.
- Be proactive. Plan. Ask questions before taking action, if unsure.
- Clarify expectations for promotion and advancement to the candidate, voting faculty, and committees prior to the review of cases (Faculty Handbook Chapter 5).
- Collaborate with effective, supportive faculty mentors to review the candidate's promotion and advancement materials before the submission date to address clarity and accuracy.
- Oversee the process for soliciting external reviewer letters.
- Explicitly address the timing of each case and note the reason why the case is being considered in the chair's letter. (Refer to <u>Timing of Promotion and Tenure Review</u>.)
- Review the list of cases <u>before</u> the process begins. Consider the following: Are there any
 conflicts of interest? Do you have enough faculty to vote at rank? Are there are any
 other relevant considerations to plan for?
- Refer to the Promotion and Tenure Review website (e.g., <u>Process Guidelines for Promotion and Tenure Review</u>, <u>Best Practices for Successful Preparation and Review of Promotion and Tenure</u>, <u>Guidance for Determining Conflict of Interest in Faculty Review</u>

<u>Processes</u>), the <u>Term Faculty Advancement</u> website, and the <u>Faculty Handbook Chapter</u> 5. Evaluation and Review.

Promotion, tenure, and advancement committee roles and responsibilities:

- All college-level Promotion and Tenure Committees are required to <u>complete annual</u> <u>training</u> before cases are reviewed. Departments are encouraged to follow this process.
- Deans or department chairs meet with committees to give the charge; review expectations, criteria, conflicts of interest, deadlines, and timelines; and de-brief about the process and identify opportunities for consistency, fairness, and excellence.

Guidance for letter writers including chairs, committees, and deans:

- Write an effective letter that documents the strengths and weaknesses of the case.
- Evaluate the case and focus on quality, reputation, impact, trajectory, and unique contributions to the discipline, where relevant.
- Avoid repeating facts listed in the cv.
- Guard against writing a "cheerleading letter."
- Don't say "yes" when you want to say "no."
- Address significant, persistent issues that violate the expectations outlined in <u>the</u>
 <u>Faculty Handbook</u>, the <u>Policy Library</u>, or other institutional policies.
- Explain negative votes and provide context, where possible.
- Summarize key points from external reviewers to offer supporting details about the case; do not include quotes or block quotes or refer to names or institutions.
- Refer to <u>Best Practices for Successful Preparation and Review of Promotion and Tenure</u>, a <u>Writing Effective Promotion and Tenure Letters recording</u>, and the <u>Faculty Handbook</u> <u>Chapter 5</u>. Evaluation and Review.

Sample errors that can compromise the process and lead to appeals:

- Persons do not follow governance documents and stated procedures and processes.
- Conflicts of interest are not addressed at department and college levels.
- There is a breach of confidentiality in the process.
- External letter writers are neither objective nor "at arm's length."
- External letters are forwarded and considered by some levels and not others; further, this divergence in procedure is not documented.
- Re-voting occurs within a department, committee, or a college.
- Late developed information is not forwarded in a timely manner.